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FAU 7039/40

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): William E. Glenn and John W. Marcinka  
Serial No. : 09/954,969  
Filed : September 17, 2001  
For : COLOR VIDEO CAMERA METHOD AND APPARATUS  
FOR FILM ORIGINATION

RESPONSE TO FORMALITIES LETTER, SUBMITTING  
DECLARATION, SUBSTITUTE DRAWINGS, ASSIGNMENT,  
FEES, AND ABSTRACT

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington,  
DC 20231, on Dec. 21, 2001  
(Date of Deposit)  
Name of applicant, assignee, or registered rep. Martin Novick  
Signature Martin Novick Date Dec 21, 2001

Sir:

This is responsive to the Formalities Letter dated October  
22, 2001.

Small entity status has been claimed.

Transmitted herewith is the executed Declaration for the  
above-identified application, along with payment for the  
surcharge of \$65 (small entity) for filing the Declaration later  
than the filing date of the application, and the filing fees of  
\$370 (small entity).

As indicated in the Declaration, priority is claimed from  
three U.S. Provisional Patent Applications, including U.S.  
Provisional Application 60/314,209, filed August 22, 2001, and

the filing receipt should be corrected to also include the priority from this U.S. Provisional Patent Application.

Also attached, as a separate sheet, is an Abstract.

Also attached are four sheets of substitute drawings (Figures 1-6)

Also attached, for recording, is an Assignment of the invention to Florida Atlantic University, along with Cover Sheet Form PTO-1595 and payment of the recording fee of \$40. Please record the Assignment, and return the document to the undersigned Counsel.

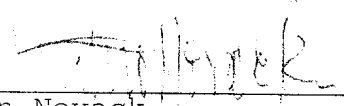
Also attached is a copy of Notice To File Missing Parts Of Nonprovisional Application (Formalities Letter) setting forth the requirement for the Declaration, the filing fees, the surcharge, the Abstract, and the substitute drawings.

A check for \$475 covers the above indicated fees of \$65, \$40, and \$370.

If any further fees are required in conjunction with this submission, please charge them to Deposit Account No. 14-1435.

Respectfully submitted,

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December 21, 2001

  
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Attorney for Applicant(s)  
(Reg. No. 25,164)

(E-37)



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,969	09/17/2001	William E. Glenn	FAU-7039/40

CONFIRMATION NO. 8770

## FORMALITIES LETTER



\*OC000000006946974\*

Martin Novack  
17414 Via Capri East  
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Date Mailed: 10/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

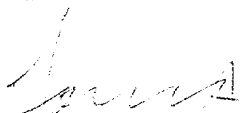
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

01/25/2002 ANABI1 00000076 09954969

01 FC:201  
02 FC:205

375.00 DP  
65.00 DP

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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